

GENERAL ASSEMBLY COMMONWEALTH OF KENTUCKY

2010 REGULAR SESSION

HOUSE BILL NO. 220
AS ENACTED
THURSDAY, APRIL 1, 2010

DATE April 12,2010

TREY GRAYSON
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY
BY

	AN AC	T relating to	building safet	v and making an	appropriation therefore	or.
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Be i	it	enacted	by	the	General	Assem	bly o	fthe	Commonwealth (of	Kentuck	ν:

1	→SECTION 1. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
2	CREATED TO READ AS FOLLOWS:
3	(1) Only a licensed elevator mechanic working under the general supervision of an
4	elevator contractor may:
5	(a) Erect, construct, alter, replace, maintain, remove, or dismantle any elevator
6	or fixed guideway system contained within buildings or structures; or
7	(b) Wire any elevator or fixed guideway system from the mainline feeder
8	terminals on the controller.
9	(2) A licensed elevator contractor is not required for removing or dismantling
10	elevators or fixed guideway systems:
11	(a) That are destroyed as a result of a complete demolition of a secured
12	building or structure; or
13	(b) Where the hoistway or wellway is demolished back to the basic support
14	structure and does not allow access that could endanger the safety and
15	welfare of a person.
16	→SECTION 2. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
17	CREATED TO READ AS FOLLOWS:
18	(1) The Elevator Advisory Committee is created within the Office of Housing,
19	Buildings and Construction. The committee shall consist of eight (8) members,
20	one (1) of whom shall be the executive director of the Office of Housing,
21	Buildings and Construction or his or her designee. The Governor shall appoint
22	the remaining seven (7) members of the committee as follows:
23	(a) One (1) representative from a nationally recognized elevator manufacturing
24	company;
25	(b) One (1) representative from an elevator servicing company;

1		(c) One (1) representative from the general public who has no financial interest
2		in the elevator or fixed guideway system industry;
3		(d) One (1) representative involved in the installation, maintenance, and repair
4		of elevators or fixed guideway systems;
5		(e) One (1) representative of an accessibility or residential elevator company;
6		(f) One (1) representative of the architectural design, elevator consulting, or
7		engineering profession with experience in elevator design; and
8		(g) One (1) representative of organized labor.
9	<u>(2)</u>	The executive director of the Office of Housing, Buildings and Construction shall
10		serve as a member of the committee by virtue of his or her office. The appointed
11		members of the committee shall serve for terms of three (3) years, except that
12		initially two (2) members shall be appointed for a one (1) year term, two (2)
13		members shall be appointed for two (2) year terms, and three (3) members shall
14		be appointed for three (3) year terms. No committee member shall be appointed
15		for more than two (2) successive terms except as provided in subsection (4) of this
16		section. The Governor shall, within the limits of this subsection, set the length of
17		term of each of the initial appointees to the committee.
18	<u>(3)</u>	Vacancies occurring on the committee among those members appointed by the
19		Governor shall be filled by seeking nominations as in subsection (1) of this
20		section. A replacement for a committee member shall be appointed immediately
21		upon the expiration of the departing committee member's term of service.
22	<u>(4)</u>	If a committee member vacates his or her position on the committee prior to the
23		expiration of the member's term, a replacement member shall be appointed for
24		the period of the unexpired term. If the unexpired term is less than two (2) years,
25		the person selected to fill the unexpired term may subsequently be appointed to
26		two (2) successive three (3) year terms.
27	<u>(5)</u>	Members may be removed from the committee by the Governor for unethical

1		conduct, neglect of duty, incompetence, or for failure to attend three (3) or more
2		consecutive meetings of the committee. A dismissed member's remaining term
3		shall be completed by the replacement member appointed by the Governor.
4	<u>(6)</u>	The committee shall be given the opportunity to review and comment on relevant
5		administrative regulations that are subject to the requirements of KRS
6		198B.030(9) and (10) and 198B.040(11) and shall make recommendations to and
7		otherwise advise the office on these matters. The committee shall perform any
8		other duties and responsibilities relating to the development of administrative
9		regulations for elevators and fixed guideway systems as assigned by the executive
10		director.
11	<u>(7)</u>	Those members of the committee who are not salaried governmental employees
12		shall be compensated for their time when attending committee meetings or
13		attending to official duties as directed by the committee at the rate of thirty-five
14		dollars (\$35) per day. All board members shall be compensated for expenses
15		incurred in the conduct of board business.
16	<u>(8)</u>	The executive director or his or her designee shall serve as chair of the Elevator
17		Advisory Committee. The chair shall only vote in the event of a tie among the
18		appointed advisory committee members.
19	<u>(9)</u>	No member of the committee shall vote on any matter which will result in his or
20		her direct or indirect financial gain.
21		→SECTION 3. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
22	CRE	ATED TO READ AS FOLLOWS:
23	<u>(1)</u>	The committee shall first meet and organize within ten (10) days after the
24		appointment of its initial members.
25	<u>(2)</u>	The committee shall meet at least quarterly for the purpose of considering
26		matters relating to elevators and fixed guideway systems and when necessary to
27		make recommendations to the office on administrative regulations, appeals, and

1,	other relevant business. Special meetings may be called in accordance with KRS
2	<u>61.823.</u>
3	→SECTION 4. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
4	CREATED TO READ AS FOLLOWS:
5	(1) A person shall not work as an elevator contractor or elevator mechanic unless
6	licensed by the office. A person may work as an elevator helper or apprentice
7	without a license while under the general supervision of a licensed elevator
8	mechanic.
9	(2) A person who is a regular and bona fide full-time employee of a public university
10	and who performs only routine maintenance on elevators for the public
11	university shall be licensed as an elevator mechanic. The public university shall
12	not be required to become licensed as an elevator contractor to employ a licensed
13	elevator mechanic performing elevator maintenance for the public university
14	under this subsection. An elevator mechanic who qualifies under this subsection
15	shall only be authorized to conduct routine maintenance on any elevators for the
16	public university, and shall be prohibited from performing any of the other
17	activities authorized by subsection (1) of Section 1 of this Act.
18	(3) The office may promulgate administrative regulations necessary to implement
19	KRS 198B.400 to 198B.540.
20	→SECTION 5. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
21	CREATED TO READ AS FOLLOWS:
22	(1) An application for licensure as an elevator contractor shall contain the
23	following:
24	(a) For an applicant who is a person or sole proprietor:
25	1. Name;
26	2. Residence; and
27	3. Business address of the applicant;

1	<u>(b)</u>	For an applicant that is a partnership:
2		1. Names of the partners;
3		2. Residential addresses of the partners; and
4		3. Business address of the partnership;
5	<u>(c)</u>	For an applicant that is a domestic corporation:
6		1. Name of the principal corporate officer;
7		2. Residential address of the principal corporate officer; and
8		3. Business address of the corporation;
9	<u>(d)</u>	For an applicant that is a corporation other than a domestic corporation:
10		1. Name of the corporation's agent who is authorized to accept service of
11		process and official notices on behalf of the corporation in the state;
12		<u>and</u>
13		2. Physical address of the corporation's authorized agent;
14	<u>(e)</u>	The number of years the applicant has engaged in the business of
15		installing, maintaining, or servicing elevators or fixed guideway systems;
16	<u>(f)</u>	The approximate number of persons, if any, to be employed by the elevator
17		contractor applicant;
18	(g)	Proof that he or she has complied with workers' compensation and
19		unemployment insurance laws;
20	<u>(h)</u>	Evidence that the applicant is covered by general liability, personal injury,
21		and property damage insurance;
22	<u>(i)</u>	Criminal record of convictions, if any, as verified through a criminal
23		background check conducted by the Department of Kentucky State Police.
24		The cost of the background check shall be paid by the applicant; and
25	<u>(i)</u>	Upon request by the office, supplemental documentation of information
26		required by this section.
27	(2) An a	applicant for an elevator contractor license shall:

1	(a) Employ at least one (1) licensed elevator mechanic who performs the work
2	described in Section 1 of this Act; and
3	(b) Have proof of compliance with insurance requirements of Section 13 of this
4	Act.
5	→SECTION 6. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
6	CREATED TO READ AS FOLLOWS:
7	(1) An application for licensure as an elevator mechanic shall contain the following:
8	(a) Name;
9	(b) Residential address;
10	(c) Name and address of the applicant's employer, if employed;
11	(d) The number of years the applicant has engaged in the business of
12	installing, maintaining, or servicing elevators or fixed guideway systems;
13	<u>and</u>
14	(e) Upon request by the office, supplemental documentation of information
15	required by this section.
16	(2) An applicant for an elevator mechanic license shall demonstrate one (1) or more
17	of the following to be eligible for licensure:
18	(a) 1. Proof the applicant has not less than thirty-six (36) months of work
19	experience in the elevator industry, in construction, maintenance,
20	service, repair, or any combination of these activities as verified by
21	current and previous employers or equivalent experience while serving
22	in the United States military services; and
2 3	2. Passage of a written, oral, or computerized examination administered
24	by the office based upon the most recent referenced codes and
25	standards;
26	(b) 1. Proof the applicant has worked without direct and immediate
27	supervision as an elevator constructor, maintenance, or repair person

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1	for not less than three (3) years immediately prior to the effective date
2	of this Act; and
3	2. Submission of an application for licensure within one (1) year after
4	the effective date of this Act;
5	(c) Certificate of completion from a nationally recognized training program for
6	the elevator industry such as the National Elevator Industry Educational
7	Program, National Association of Elevator Contractors, or an equivalent
8	program approved by the executive director; or
9	(d) 1. Certificate of completion of an apprenticeship program for elevator
10	mechanics, having standards substantially equal to those of KRS
11	198B.400 to 198B.540; and
12	2. Proof of registration with the Bureau of Apprenticeship and Training,
13	United States Department of Labor, or a state apprenticeship council.
14	→SECTION 7. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
15	CREATED TO READ AS FOLLOWS:
16	(1) An applicant for licensure as an elevator contractor under Section 5 of this Act or
17	as an elevator mechanic under Section 6 of this Act who applies to the office
18	prior to July 1, 2012, shall be licensed by the office without completing the
19	licensure requirements as established in KRS 198B.400 to 198B.540, if the
20	applicant is currently licensed, certified, or registered as an elevator contractor or
21	elevator mechanic in another state whose standards are substantially equal to
22	those in KRS 198B.400 to 198B.540.
23	(2) Prior to July 1, 2012, an applicant who does not qualify for licensure under
24	subsection (1) of this section or under Section 5 or 6 of this Act shall qualify for
25	licensure by showing a minimum of three (3) years of verifiable experience
26	engaging in business as an elevator contractor or elevator mechanic in this state.
27	(3) After July 1, 2012, licensure under this section shall cease.

1	→SECTION 8. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
2	CREATED TO READ AS FOLLOWS:
3	Any person, sole proprietor, partnership, or corporation holding a valid elevator or
4	fixed guideway license from a state that has licensing, educational, and experience
5	requirements substantially equal to or greater than those of KRS 198B.400 to
6	198B.540, and which grants licensing privileges to persons licensed in this state, may
7	be issued an equivalent license in this state upon terms and conditions determined by
8	the office. The terms and conditions shall be promulgated as an administrative
9	regulation by the office.
10	→SECTION 9. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
11	CREATED TO READ AS FOLLOWS:
12	(1) The office shall issue emergency elevator mechanic licenses whenever an
13	emergency is declared by the Governor due to disaster, act of God, or work
14	stoppage, and the number of persons in the state holding licenses granted by the
15	office is determined by the executive director to be insufficient to cope with the
16	emergency.
17	(2) Elevator contractors shall respond as necessary to ensure the safety of the public.
18	(3) Any person confirmed by a licensed elevator contractor to have a combination of
19	documented experience and education to perform elevator work without direct
20	and immediate supervision shall seek an emergency elevator mechanic's license
21	from the office within five (5) business days after commencing work under this
22	emergency provision if the work requires a license under ordinary circumstances.
23	The elevator contractor employing the elevator mechanic shall furnish proof of
24	competency as required by the office.
25	(4) Each emergency elevator mechanic license shall be valid for a period of forty-five
26	(45) days from the date of issuance and for the particular elevators or
27	geographical areas designated by the office, and otherwise shall entitle the

1	licensee to the rights and privileges of an elevator mechanic licensea under KKS
2	198B.400 to 198B.540.
3	(5) The office may renew an emergency elevator mechanic license during the
4	existence of a continuing emergency.
5	→SECTION 10. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
6	CREATED TO READ AS FOLLOWS:
7	(1) An elevator contractor shall notify the office when the contractor has no licenses
8	personnel available to perform elevator work. The elevator contractor may
9	request that the office issue temporary elevator mechanic licenses to persons
10	confirmed by the licensed elevator contractor to have a combination of
11	documented experience and education to perform elevator work without direc
12	and immediate supervision.
13	(2) Any person confirmed by an elevator contractor to have a combination of
14	documented experience and education to perform elevator work without direct
15	and immediate supervision shall immediately seek a temporary elevator mechanic
16	license from the office.
17	(3) Each temporary license shall be valid for a period of one (1) year and only while
18	the licensee is employed by the licensed elevator contractor that confirmed the
19	individual as qualified.
20	(4) The temporary license shall be renewable for additional terms of one (1) year
21	each until there is no shortage of ordinary license holders.
22	→SECTION 11. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
23	CREATED TO READ AS FOLLOWS:
24	(1) Each person licensed under the provisions of KRS 198B.400 to 198B.540 shall
25	annually, on or before the last day of the licensee's birth month, renew his or her
26	license.
27	(2) A sixty (60) day grace period shall be allowed after the anniversary date of the

1	license during which time a licensee may continue to practice and may renew his
2	or her license upon meeting the requirements promulgated through
3	administrative regulations by the office.
4	(3) A license not renewed before the end of the sixty (60) day grace period shall
5	terminate based on the failure of the licensee to renew in a timely manner. Upon
6	termination, the licensee shall be ineligible to practice in the Commonwealth.
7	(4) After the sixty (60) day grace period, a former licensee with a terminated license
8	may have the license reinstated upon meeting the requirements promulgated
9	through administrative regulations by the office. An applicant for reinstatement
10	after termination of the license shall not be required to submit to any
11	examination as a condition for reinstatement, if the reinstatement application is
12	made within three (3) years from the date of termination.
13	(5) A suspended license is subject to expiration and termination and shall be renewed
14	as provided in this section. Renewal shall not entitle the licensee to engage in the
15	practice until the suspension has ended or is otherwise removed by the office and
16	the right to practice is restored by the office.
17	(6) A revoked license is subject to reinstatement, expiration, or termination but may
18	not be renewed.
19	(7) An applicant for renewal or reinstatement of a license shall show evidence of
20	completing at least eight (8) hours of continuing education provided by the
21	National Elevator Industry Educational Program, National Association of
22	Elevator Contractors, or another provider approved by the office. The office shall
23	promulgate administrative regulations establishing the permissible content of
24	continuing education programs and the qualifications of the providers.
25	(8) When applicable, an applicant for renewal or reinstatement of an elevator
26	contractor license shall submit proof that the applicant has complied with
27	workers' compensation and unemployment insurance laws and administrative

1	regulations and has obtained general liability coverage of at least one million
2	dollars (\$1,000,000) for injury or death of any number of persons in any one (1)
3	occurrence, with coverage of at least five hundred thousand dollars (\$500,000)
4	for property damage in any one (1) occurrence.
5	(9) The office may, through the promulgation of administrative regulations:
6	(a) Establish an inactive license for licensees who are not actively engaging in
7	the elevator or fixed guideway system business but who wish to maintain
8	their license;
9	(b) Determine continuing education requirements for reactivation;
10	(c) Waive the insurance requirements established in subsection (8) of this
11	section for inactive licensees; and
12	(d) Establish reactivation procedures.
13	→SECTION 12. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
14	CREATED TO READ AS FOLLOWS:
15	(1) The renewal of all elevator contractor and elevator mechanic licenses shall be
16	conditioned upon the submission of a certificate of completion of a continuing
17	education course or courses that instruct licensees on new and existing
18	applicable administrative regulations of the office.
19	(2) Licensed elevator contractors and licensed elevator mechanics shall complete at
20	least eight (8) hours of continuing education annually. Continuing education for
21	a renewal year shall be attended and completed during that renewal year.
22	(3) Continuing education courses shall be taught by instructors employed by
23	continuing education providers that may include but shall not be limited to
24	association seminars and labor training programs. Continuing education
25	programs provided by the National Elevator Industry Educational Program or
26	the National Association of Elevator Contractors shall be approved to meet the
27	requirements for continuing education. The office may approve other education

1	programs that meet its requirements established through administrative
2	regulation under subsection (7) of Section 11 of this Act.
3	(4) A licensee who is unable to complete the continuing education requirements for
4	renewal under this section prior to the expiration of his or her license due to
5	temporary disability may apply for a waiver from the office. The waiver shall be
6	on a form provided by the office, with a notarized signature of the licensee, and
7	accompanied by a certified statement from a physician attesting to the temporary
8	disability. Application for medical waiver must be received by the office prior to
9	the final day of the licensee's birth month. Upon termination of the temporary
10	disability, the licensee shall submit a supplementary certified statement attesting
11	to the termination of the temporary disability and proof of attendance of at least
12	eight (8) hours of continuing education.
13	(5) Falsifying or knowingly allowing another to falsify continuing education
14	attendance records or certificates of completion shall constitute grounds for
15	revocation of program approval required under this section.
16	→SECTION 13. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
17	CREATED TO READ AS FOLLOWS:
18	Elevator contractors shall submit proof of insurance to the office for an insurance
19	policy issued by an insurance company or other legal entity permitted to transact
20	insurance business in Kentucky, providing:
21	(1) General liability coverage of at least one million dollars (\$1,000,000) for injury or
22	death of any number of persons in any one (1) occurrence;
23	(2) Coverage of at least five hundred thousand dollars (\$500,000) for property
24	damage in any one (1) occurrence; and
25	(3) Statutory workers' compensation insurance coverage.
26	→SECTION 14. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
77	CDEATED TO DEAD AS FOLLOWS:

1	The	provisions of KRS 198B.400 to 198B.540 shall not be construed to relieve or lessen
2	the	responsibility or liability of any person, partnership, or corporation owning,
3	<u>ope</u>	rating, controlling, maintaining, erecting, constructing, installing, altering,
4	<u>ins</u> į	pecting, testing, or repairing any elevator, fixed guideway system, or other related
5	med	chanisms for damages to person or property caused by any specifically related
6	defe	ect.
7		→SECTION 15. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
8	CR	EATED TO READ AS FOLLOWS:
9	<u>(1)</u>	The office shall conduct disciplinary hearings in accordance with KRS Chapter
10		<u>13B.</u>
11	<u>(2)</u>	The executive director may summarily suspend a license for up to ninety (90)
12		days before a final adjudication or during an appeal of the executive director's
13		determination if he or she believes that allowing the licensee to keep an active
14		license would represent a clear and immediate danger to the public's health,
15		safety, or property if the licensee is allowed to continue working.
16	<u>(3)</u>	The executive director shall issue a letter to cease and desist with notice of
17		opportunity to be heard in accordance with a KRS Chapter 13B hearing, to any
18		individual if the executive director determines that:
19		(a) An individual is not licensed under the provisions of this chapter; and
20		(b) The individual is engaged in, or believed to be engaged in, activities for
21		which an elevator contractor's or elevator mechanic's license is required
22		under KRS 198B.400 to 198B.540.
23	<u>(4)</u>	After completion of the KRS Chapter 13B hearing, if it is determined that the
24		individual's activities are subject to licensure under KRS 198B.400 to 198B.540,
25		the executive director shall issue a cease and desist order that identifies the
26		individual and specifically states the activities that are subject to the order.
27	(5)	A cease and desist order issued under this section shall be enforceable in the

1	Circuit Court of the county of the licensee's place of business in accordance with
2	KRS Chapter 13B.
3	→SECTION 16. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
4	CREATED TO READ AS FOLLOWS:
5	(1) Subject to a hearing conducted in accordance with KRS Chapter 13B, the
6	executive director may suspend, revoke, or refuse to renew the license of an
7	elevator contractor or elevator mechanic for any of the following actions by the
8	licensee:
9	(a) Use of false evidence of misrepresentation in an application for an elevator
10	contractor's or elevator mechanic's license;
11	(b) Attempting to transfer authority granted to the licensed contractor or
12	mechanic to another individual;
13	(c) Incompetency or negligence relating to elevator service, installation, repair,
14	or maintenance as determined by the executive director;
15	(d) Conviction of a felony after the effective date of this Act;
16	(e) Fraudulent or dishonest practices while engaging in the business of
17	elevator service, installation, repair, or maintenance;
18	(f) Aiding or abetting any person attempting to evade the provisions of KRS
19	198B.400 to 198B.540; or
20	(g) Violating any provision of KRS 198B.400 to 198B.540 or the administrative
21	regulations promulgated under KRS 198B.400 to 198B.540.
22	(2) Subject to a hearing in accordance with KRS Chapter 13B, the executive director
23	shall revoke the license of an elevator contractor or elevator mechanic who
24	continues to work as if holding a valid license during a suspension imposed by
25	the executive director.
26	(3) Any licensee who is aggrieved by a final order of the executive director, resulting
27	in the suspension, refusal to renew, or revocation of his or her license may appeal

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1	to the Circuit Court of the county of the licensee's place of business in
2	accordance with KRS Chapter 13B.
3	(4) Any reason for licensee discipline under subsection (1) of this section may also
4	serve as the reason to deny an initial application for licensure as an elevator
5	contractor or elevator mechanic.
6	→SECTION 17. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
7	CREATED TO READ AS FOLLOWS:
8	If the license of an elevator contractor or elevator mechanic is revoked for any reason,
9	the former licensee may, at the conclusion of the revocation period, reapply for his or
10	her license.
11	→SECTION 18. A NEW SECTION OF KRS 198B.400 TO 198B.540 IS
12	CREATED TO READ AS FOLLOWS:
13	(1) The elevator safety program trust fund is created in the State Treasury as a
14	separate revolving fund to be administered by the Office of Housing, Buildings
15	and Construction. The trust fund shall consist of amounts deposited in the fund
16	as provided in Section 29 of this Act and any other proceeds from grants,
17	contributions, appropriations, or other money made available for the purposes of
18	the trust fund.
19	(2) Notwithstanding KRS 45.229, any moneys remaining in the fund at the close of
20	the fiscal year shall not lapse but shall be carried forward to the next fiscal year
21	to be used for the purposes set forth in this section.
22	(3) Any interest earnings of the trust fund shall become a part of the trust fund and
23	shall not lapse.
24	(4) Trust fund moneys shall be used and are hereby appropriated for reasonable
25	administrative expenses associated with the elevator safety program and other
26	purposes as specified in subsection (7) of Section 2 of this Act.
27	→ Section 19. KRS 198B.400 is amended to read as follows:

- 1 As used in KRS 198B.410 to 198B.540, unless the context otherwise requires:
- 2 (1) "Elevator" means all the machinery, construction, apparatus, and equipment used in
- raising and lowering a car, cage, or platform vertically between permanent rails or
- 4 guides, and includes all elevators, power dumbwaiters, escalators, gravity elevators,
- 5 and other lifting or lowering apparatus permanently installed between rails or
- 6 guides, but does not include hand operated dumbwaiters, manlifts of the platform
- type with a platform area not exceeding nine hundred square inches, construction
- hoists, or other similar temporary lifting or lowering apparatus: [.]
- 9 (2) "Passenger elevator" means an elevator that is designed to carry persons to its
- 10 contract capacity:[.]
- 11 (3) "Freight elevator" means an elevator used for carrying freight and on which only the
- operator, by the permission of the employer, is allowed to ride;[.]
- 13 (4) "General inspector" means a state inspector examined and hired to inspect elevators
- for the Office of Housing, Buildings and Construction:
- 15 (5) "Special inspector" means an inspector examined and certified by the office to
- inspect elevators in the state;
- 17 (6) "Inspector" means either a general or special inspector: [-]
- 18 (7) "Office" means the Office of Housing, Buildings and Construction: [.]
- 19 (8) "Certificate of operation" is a certificate issued by the office authorizing the
- 20 operation of an elevator which must be conspicuously posted on the elevator at all
- 21 times; [.]
- 22 (9) "Escalator" means a moving stairway consisting of steps attached to a continuously
- circulating belt that is used to move persons from one (1) level to another:
- 24 (10) "Moving sidewalk" means horizontal flat panels attached to a continuously
- 25 circulating belt used to move people;
- 26 (11) "Fixed guideway system" means any nonrail system, funicular, or automated people
- 27 mover, either air-suspended or wheeled, that is not regulated by the Federal Transit

1	Administration;[-]
2	(12) "Mine elevator" means an elevator permanently installed in a mine shaft to
3	provide vertical transportation of mine personnel, their tools, equipment, and
4	mine supplies;
5	(13) "Stage elevator" means a section of a stage arranged to be raised and lowered
6	above and below the stage in a vertical direction;
7	(14) "Orchestra elevator" means a platform used for raising and lowering musicians
8	in an orchestra in a vertical direction;
9	(15) "Organ console elevator" means a mechanism used for raising and lowering an
10	organ console, including the organist, in a vertical direction;
11	(16) "Material lift" means a hoisting and lowering mechanism equipped with a car
12	that moves within a guide system installed at an angle of greater than seventy
13	(70) degrees from the horizontal, serving two (2) or more landings, for the
14	purpose of transporting materials that are manually or automatically loaded or
15	unloaded. A person shall not ride on a material lift;
16	(17) "Committee" means the Elevator Advisory Committee;
17	(18) "Elevator contractor" means any sole proprietor, partnership, or corporation
18	possessing an elevator contractor license issued by the office and who is engaged
19	in the business of erecting, constructing, installing, altering, servicing, repairing
20	or maintaining elevators or fixed guideway systems;
21	(19) "Elevator mechanic" means any person who:
22	(a) Possesses an elevator mechanic license issued by the office;
23	(b) Is employed by an elevator contractor; and
24	(c) Is engaged in erecting, constructing, installing, altering, servicing
25	repairing, or maintaining elevators or fixed guideway systems;
26	(20) "Elevator helper" or "elevator apprentice" means any person who works under
27	the general supervision of a licensed elevator mechanic. An elevator helper of

1		elevator apprentice is not subject to licensure;
2	<u>(21)</u>	"Executive director" means the executive director of the Office of Housing
3		Buildings and Construction;
4	<u>(22)</u>	"Direct and immediate supervision" means that the licensed supervising
5		authority is on-site. The supervisor is not required to have actual or direct sight
6		of the person being directly supervised; and
7	<u>(23)</u>	"General supervision" means that the supervising authority oversees the work
8		performed overall but is not required to be on-site at all times during work
9		relating to elevators or fixed guideway systems.
10		→ Section 20. KRS 198B.410 is amended to read as follows:
11	(1)	No person may act either as a general inspector or as a special inspector of elevators
12		or fixed guideway systems unless he or she holds a certificate of competency from
13		the office.
14	(2)	Application for examination as an inspector of elevators shall be in writing,
15		accompanied by a fee of ten dollars (\$10), upon a blank to be furnished by the
16		office, stating the school education of the applicant, a list of his or her employers,
17		his or her period of employment, and the position held with each. An applicant shall
18		also submit a letter from one (1) or more of his or her previous employers certifying
19		as to his or her character and experience.
20	(3)	Applications shall be rejected which contain any willful falsification or untruthful
21		statements. The applicant, if the office deems his or her history and experience
22	•	sufficient, shall be tested by means of a written examination dealing with the
23		construction, installation, operation, maintenance, and repair of elevators, fixed
24		guideway systems, and their appurtenances, and the applicant shall be accepted or
25		rejected on the merits of his or her application and examination.
26	(4)	The office shall promulgate administrative regulations establishing the training and
27		certification requirements for inspectors of fixed guideway systems.

1	(5)	The office shall issue a certificate of competency in the inspection of elevators to
2		any applicant found competent upon examination. A rejected applicant shall be
3		entitled, after the expiration of ninety (90) days, and upon payment of an
4		examination fee of ten dollars (\$10), to another examination. Should an applicant
5		fail to pass the prescribed examination on second trial, he or she will not be
6		permitted to be an applicant for another examination for a period of one (1) year
7		after the second failure.

- → Section 21. KRS 198B.420 is amended to read as follows: 8
- The office shall administer all aspects of the State Elevator and Fixed Guideway 9 10 System Inspection Program.
- (2) The program shall be directed by a person with at least five (5) years' experience in 11 12 the inspection or construction, installation, maintenance, and repair of elevators, fixed guideway systems, and their appurtenances. 13
- (3) The executive director of housing, buildings and construction may appoint and hire, 14 from the holders of certificates of competency, general inspectors of elevators. 15
- (4) Any person may request an investigation into an alleged violation of KRS 16 17 198B.400 to 198B.540 by giving notice to the office of the violation or danger. This notice shall be:
- 19 (a) In writing:

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- (b) Set forth with particularity regarding the grounds for the notice; and 20
- 21 (c) Signed by the person making the request.
- (5) If, upon receipt of the request for investigation, the office determines that there is 22 evidence that a violation or danger exists, the office shall conduct an 23 investigation in accordance with KRS 198B.400 to 198B.540 as soon as 24 practicable. If the office finds no grounds to substantiate that a violation or 25 26 danger exists, the office shall notify the requesting party in writing of the findings within fourteen (14) calendar days of the determination. 27 .

1 → Section 22. KRS 198B.450 is amended to read as follows: 2 If a certificate *issued under Section 20 of this Act* is lost or destroyed, a new one shall be 3 issued in its place by the office without another examination, upon the payment of a fee of one dollar (\$1). 4 5 → Section 23. KRS 198B.460 is amended to read as follows: 6 (1) The owner or user of any elevator or fixed guideway system shall register with the 7 office every elevator or fixed guideway system operated by him or her, giving the type, capacity, description, name of manufacturer, and purpose for which each is 8 9 used. The registration shall be made on a form to be furnished by the office. 10 (2) If the office has the information required by subsection (1) of this section in its possession, the owner or lessee shall not be required to duplicate the information. 11 12 Elevators and fixed guideway systems for which construction has begun after the 13 effective date of this Act shall be registered at the time they are completed and 14 placed in service. 15 → Section 24. KRS 198B.470 is amended to read as follows: 16 (1) Except as indicated under subsection (2) of this section, every passenger elevator, freight elevator, moving sidewalk, fixed guideway system, and escalator shall be 17 18 inspected once every twelve (12) months. The following shall be exempt from the annual inspection requirements of 19 20 subsection (1) of this section: 21 (a) Material lifts; 22 (b) Grain elevators; (c) Mine elevators; 23 (d) Stage elevators; 24 (e) Orchestra elevators; 25 26 Organ console elevators; Elevators and lifting devices solely in residential buildings used by the 27

1		occupants of a dwelling unit, except where the lifting device is used or
2		accessible by the occupants of more than one (1) dwelling unit;
3		(h) Freight elevators on the licensed premises of a distillery licensed under KRS
4		<u>243.030(1);</u>
5		(i) Non-federally regulated, funicular fixed guideway systems; and
6		(j) Passenger elevators, freight elevators, moving sidewalks, fixed guideway
7		systems, and escalators installed in federal properties and projects.
8		→ Section 25. KRS 198B.480 is amended to read as follows:
9	(1)	Every inspector shall forward to the office a full report of each inspection made of
10		any passenger elevator or fixed guideway system, showing the exact condition of
11		the elevator or fixed guideway system, and the inspector shall leave a copy of the
12		report at the elevator or fixed guideway system on the day the inspection is
13		completed.
14	(2)	If any passenger elevator or fixed guideway system requires certain changes or
15		repairs to make it reasonably safe to operate, recommendations shall be made by the
16		inspector upon his or her report and a copy of the report as approved by the office
. 17		shall be given to the owner or operator of the elevator or fixed guideway system,
18		and, unless appealed, upon compliance with the report[therewith] and upon the
19		payment of the fees required by law, the office shall issue a certificate of operation
20		for a capacity not to exceed that named in the report of inspection. This[, which]
21		certificate shall be valid for one (1) year after the date of inspection.
22	(3)	If construction plans or an application of specifications is not approved, the office
23		shall state in writing the necessary changes to obtain approval and the owner or
24		operator shall be given a copy of the necessary changes[thereof], and, unless
25		appealed, upon compliance by the owner or operator[therewith], the office shall
26		approve the plans or specifications and issue a permit for construction.
27	(4)	Any owner or operator, within twenty (20) days from receipt of the copy of the

report or statement of changes in plans or specifications, may make written application to the office upon forms to be furnished by the office for a hearing on the report or the statement regarding changes in plans or specifications as to whether the elevator or fixed guideway system in question is reasonably safe, or whether the elevator or fixed guideway system, if constructed in accordance with the plans and specifications, would be reasonably safe. The office shall promptly consider the application and schedule a hearing to be conducted consistent with the provisions of this section and KRS Chapter 13B.

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If it appears from the evidence presented at the hearing that the elevator or fixed guideway system will be reasonably safe to operate without those changes or repairs shown in the report or by making only a part or all of the changes [thereof], or if none or only a part of all the changes in the plans or specifications are found necessary to make the elevator reasonably safe, the office shall issue its final order accordingly. If the final order requires changes or repairs to be made in the elevator or fixed guideway system or changes in the plans or specifications of either, the office shall, upon the payment of the required fees, issue a certificate of operation when the order has been executed or issue its approval of the plans or specifications. If the final order of the office has been affirmed or modified by appeal on the grounds of reasonable safety considered by the office, then the office shall, upon compliance with the final order and the payment of required fees, issue the certificate of operation or issue its approval of the plans and specifications; but, if the order of the office has been vacated, the certificate of operation, upon the payment of fees or approval of plans and specifications, shall be issued immediately[forthwith]. No elevator or fixed guideway system shall be operated after being inspected without bearing a conspicuously posted[having a] certificate of operation conspicuously posted thereon, except pending a hearing on the issuance of the certificate thereof.

1		→ Section 26. KRS 198B.490 is amended to read as follows:
2	<u>(1)</u>	The office [executive director of housing, buildings and construction] shall make,
3		alter, amend, and repeal rules and administrative regulations exclusively for the
4		safety and inspection of passenger elevators and fixed guideway systems. The
5		office[executive director] shall have the authority to prescribe, by administrative
6		regulation, <u>a reasonable</u> [the] fee to be charged for each inspection. All fees
7		established and regulated by this section shall be <u>paid</u> [payable] to the office, <u>made</u>
8		payable to the Kentucky State Treasurer, except as may be provided in a specific
9		written agreement between the executive director and any agency authorized to
10		inspect elevators or fixed guideway systems by the provisions of this chapter.
11	<u>(2)</u>	The office shall consult with the Elevator Advisory Committee, engineering
12		authorities, and organizations concerned with standard safety codes, rules, and
13		administrative regulations governing the operation, maintenance, servicing,
14		construction, alteration, installation, and inspection of elevators and fixed
15		guideway systems, and the qualifications that are necessary for an elevator
16		mechanic, contractor, and inspector.
17	<u>(3)</u>	The office shall promulgate administrative regulations establishing the approved
18		equipment regulated by KRS 198B.400 to 198B.540. These administrative
19		regulations shall include the following, except as modified under subsection (4)
20		of this section:
21		(a) Safety Code for Elevators and Escalators, ASME A17.1/CSA B44;
22		(b) Safety Code for Existing Elevators and Escalators, ASME A17.3;
23		(c) Performance-Based Safety Code for Elevators and Escalators, ASME
24		17.7/CSA B44.7;
25		(d) Safety Standards for Platform Lifts and Stairway Chairlifts, ASME A18.1;
26		(e) Standard for the Qualification of Elevator Inspectors, ASME OEI-1; and

(f) Automated People Mover Standards, ASCE 21.

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1	<u>(4)</u>	The office and the committee shall review the latest editions of any standard
2		listed in subsection (3) of this section within twelve (12) months of that standard's
3		effective date. Upon completion of reviews, the committee shall give the office its
4		recommendations for or against adoption or modification of a standard.
5	<u>(5)</u>	All administrative regulations issued by the office relating to KRS 198B.400 to
6		198B.540 shall be consistent with the standards of safety as established in 815
7		KAR 10:060 and the Uniform State Building Code established in KRS 198B.050.
8		→ Section 27. KRS 198B.510 is amended to read as follows:
9	<u>(1)</u>	No certificate of operation for any passenger elevator or fixed guideway system
10		shall be issued until the elevator or fixed guideway system has been inspected and
11		the <u>inspection</u> report[thereof] filed with the office. The certificate of operation,
12		when issued, shall bear the date of inspection, and shall be renewed as of the date of
13		the subsequent inspection, provided the inspection is made at least one (1) year after
14		the issuance of this[such] certificate. If the inspection is made during the year the
15		certificate is in force, the renewal date shall be one (1) year from the date of the
16		certificate being renewed and the renewal certificate shall show the date of
17		inspection.
18	<u>(2)</u>	All new elevator or fixed guideway system installations shall be performed by a
19		licensed elevator contractor. Subsequent to installation, the elevator contractor
20		shall certify compliance with the applicable sections of KRS 198B.400 to
21		198B.540. The licensed elevator contractor shall complete and submit the initial
22		registration for each new installation.
23	<u>(3)</u>	(a) A state inspector shall inspect, in accordance with KRS 198B.400 to
24		198B.540, all newly installed elevators, platform lifts, and stairway chairlifts
25		in private residences.
26		(b) A state inspector may inspect, in accordance with KRS 198B.400 to
27		198B.540, any existing installed elevators, platform lifts, and stairway

chairlifts in private residences at the request of the owner of the private residence.

→ Section 28. KRS 198B.520 is amended to read as follows:

Before any new installation of an elevator or fixed guideway system of permanent nature shall be erected or before any existing elevator is removed to a different location, an application of specifications in duplicate shall be submitted to the office giving such information concerning the construction, installation, and operation of the[said] elevator or fixed guideway system as the office may require on forms to be furnished by the office, together with complete construction plans in duplicate. In all cases where any changes or repairs are made which alter its construction or classification, grade, or rated lifting capacity, except when made pursuant to a report of an inspector, an application of specifications in duplicate shall be submitted to the office containing such information for approval[, except for elevators in those municipal corporations which maintain their own elevator inspection departments, in which event the specifications shall be submitted to the elevator department of the municipal corporation for its approval and, if approved, a permit for the erection or repair of the elevator shall be issued by the municipal corporation]. Upon approval of the application and construction plans, the office shall issue a permit for the erection or repair of the elevator or fixed guideway system. No new elevator or fixed guideway system shall be operated until completion in accordance with the approved plans and specifications, unless a temporary permit is granted by the office.

(2) A permit issued under this section may be revoked by the office when:

- 24 (a) A false statement or misrepresentation of a material fact in the application,
 25 plans, or specifications led to the issuance of the permit;
- 26 (b) The permit was issued in error;
 - (c) The work detailed under the permit is not being performed in accordance

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1	with the application, plans, or specifications;
2	(d) Work is not being performed in accordance with the code or conditions of
3	the permit; or
4	(e) The elevator contractor to whom the permit was issued fails or refuses to
5	comply with a lawfully issued stop work order.
6	(3) A permit issued under this section shall expire if:
7	(a) The work authorized by permit is not commenced within twenty-four (24)
8	months after the date of issuance, or within a shorter period of time as
9	specified by the office at the time the permit is issued; or
10	(b) The work is abandoned for a period of sixty (60) days after work
11	commences, or a shorter period of time as specified by the office at the time
12	the permit is issued.
13	(4) The office shall allow an extension of the allotted period if proof of good cause is
14	provided with a request for an extension.
15	→ Section 29. KRS 198B.530 is amended to read as follows:
16	$\underline{A[No]}$ person shall <u>not</u> violate any law relative to the operation, construction,
17	maintenance, and repair of passenger elevators or fixed guideway systems. All fines
18	collected for a violation of this section shall be forwarded to the office, which shall pay
19	the same into the State Treasury to the credit of the elevator safety program trust fund as
20	specified in Section 18 of this Act[general revenue fund].
21	→SECTION 30. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
22	TO READ AS FOLLOWS:
23	(1) The office shall issue a fire sprinkler inspector certification to an applicant who
24	meets the following requirements:
25	(a) Is at least eighteen (18) years of age;
26	(b) Submits a completed fire sprinkler inspector's written or electronic
27	application form as provided by the office;

1	(c) Provides a certificate of insurance that:
2	1. a. Is issued by an insurance company or other legal entity
3	permitted to transact insurance business in Kentucky;
4	b. Insures for general liability coverage of at least five hundred
5	thousand dollars (\$500,000); and
6	c. Includes liability insurance that shall cover the legal liability of
7	the certified fire sprinkler inspector as the result of erroneous
8	acts or failure to act in his or her capacity as a fire sprinkler
9	inspector and shall be in the form of a certificate of insurance
10	executed by an insurer permitted to do business in this state or
11	exported by a licensed surplus lines broker to an eligible carrier
12	pursuant to KRS 304.10-020 to 304.10-210; or
13	2. Submits an affidavit completed by a sprinkler contractor that the
14	applicant is and will be an employee of a currently licensed sprinkler
15	contractor in good standing with the office;
16	(d) 1. Provides proof of passing scores on all portions of a standardized
17	examination approved by the executive director; or
18	2. Is a current holder of certification from the National Institute for
19	Certification in Engineering Technologies (NICET) for "Inspection
20	and Testing of Water-Based Systems" Level 2 or higher; and
21	(e) Upon request by the office, supplemental documentation of information as
22	required under this subsection.
23	(2) All certification cards issued by the office shall remain the property of the office.
24	→SECTION 31. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
25	TO READ AS FOLLOWS:
26	(1) An applicant for certification as a fire sprinkler inspector under this chapter
27	making application to the office prior to July 1, 2012, shall be certified by the

1	office without completing the certification requirements as established in this
2	chapter if:
3	(a) The applicant is currently certified by the state of Kentucky, or
4	(b) The applicant is currently licensed, certified, or registered as a fire sprinkles
5	inspector in another state whose standards are substantially equal to those
6	in this chapter.
7	(2) Prior to July 1, 2012, the office may issue a pending certification to an applicant
8	A pending certification shall allow the applicant to act in the capacity applied for
9	until the applicant's permanent certification is issued or the application is denied.
10	(3) After July 1, 2012, certification under this section shall cease.
11	→SECTION 32. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
12	TO READ AS FOLLOWS:
13	(1) The initial annual certification for a fire sprinkler inspector shall be for not less
14	than seven (7) months nor more than eighteen (18) months.
15	(2) Any certification for a fire sprinkler inspector issued in accordance with this
16	chapter shall expire on the last day of the inspector's birth month in the year
17	following certification.
18	(3) Renewal notices shall be sent to each certified inspector at least sixty (60) days
19	prior to the expiration of his or her certification. The notice shall:
20	(a) Inform the certified inspector of the need to renew the certification; and
21	(b) Describe the materials to be submitted with a request for renewal.
22	(4) An individual who applies to renew a certification as a certified fire sprinkler
23	inspector shall:
24	(a) Complete and submit the fire sprinkler inspector's written or electronic
25	renewal form as provided by the office;
26	(b) Show proof of general liability insurance in the amount required by this
27	chapter; and

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1	(c) 1. Provide proof of completion of six (6) hours of continuing education
2	prior to certification renewal. The required continuing education shall
3	be received within the twelve (12) months prior to renewal; or
4	2. Submit proof that the inspector is a current and valid holder of
5	NICET certification in the testing of water-based systems.
6	(5) A thirty (30) day grace period shall be allowed after the renewal deadline of the
7	certification during which time a fire sprinkler inspector may continue to practice
8	and may renew his or her certification upon meeting the requirements
9	promulgated through administrative regulation by the office.
10	(6) Any certification not renewed on or before the last day of the thirty (30) day grace
1	period shall terminate based upon failure to timely renew certification. Upon
12	termination, the individual shall no longer be eligible to inspect within the
13	Commonwealth.
14	(7) After expiration of the thirty (30) day grace period, a formerly certified fire
15	sprinkler inspector with a terminated certification shall have the certification
16	reinstated upon meeting the requirements promulgated through administrative
17	regulation by the office. An applicant for reinstatement after termination of his
18	or her certification shall not be required to submit to any examination as
19	condition for reinstatement, if the reinstatement application is made within two
20	(2) years from the date of certification termination.
21	(8) After termination and two (2) years, the applicant shall retest and comply with
22	application requirements set forth in Section 30 of this Act.
23	→SECTION 33. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
24	TO READ AS FOLLOWS:
25	(1) The certification requirements established within this chapter may be waived for
26	a person moving into the Commonwealth from another jurisdiction, and a fire
27	sprinkler inspector's certification granted, if the person meets the following

1	<u>requirements:</u>
2	(a) The other jurisdiction grants the same privileges to certified fire sprinkler
3	inspectors of Kentucky as Kentucky grants to certified or licensed fire
4	sprinkler inspectors of that other jurisdiction;
5	(b) The individual is certified or licensed in the other jurisdiction;
6	(c) The certification or licensing requirements of the other jurisdiction are
7	substantially similar to the requirements in this chapter; and
8	(d) The individual submits a notarized letter stating that he or she has read, is
9	familiar with, and will abide by this chapter and the administrative
10	regulations promulgated by the office.
11	(2) An individual seeking a fire sprinkler inspector's certification under this section
12	shall:
13	(a) Submit a completed fire sprinkler inspector's written or electronic
14	application form as provided by the office; and
15	(b) 1. Provide a certificate of insurance that is issued by an insurance
16	company or other legal entity permitted to transact business in
17	Kentucky with a general liability coverage of at least five hundred
18	thousand dollars (\$500,000). Liability insurance shall cover the legal
19	liability of the certified fire sprinkler inspector as the result of
20	erroneous acts or failure to act in his or her capacity as a fire
21	sprinkler inspector and shall be in the form of a certificate of
22	insurance executed by an insurer permitted to do business in this state
23	or exported by a licensed surplus lines broker to an eligible carrier
24	pursuant to KRS 304.10-020 to 304.10-210; or
25	2. Submit an affidavit from a sprinkler contractor that he or she is
26	covered as an employee of a sprinkler contractor that is in good
27	standing and currently licensed by the office.

→SECTION 34. A NEW SECTION OF KRS CHAPTER 198B IS CREATEI	1	→ SECTION 34.	A NEW	SECTION	OF KRS	CHAPTER	198B IS	CREATED
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- 2 TO READ AS FOLLOWS:
- 3 The executive director shall, through the promulgation of administrative regulations:
- 4 (1) Establish the procedures necessary to implement Sections 30 to 38 of this Act;
- 5 (2) Establish an inactive status of certification for fire sprinkler inspectors who are
- 6 not actively conducting inspections but wish to maintain their certification;
- 7 (3) Establish a waiver of insurance requirements for inactive certifications;
- 8 (4) Establish the reactivation procedure for a certification successfully placed into
- 9 <u>inactive status; and</u>
- 10 (5) Establish criteria for approval of continuing education providers and continuing
- 11 education courses.
- → SECTION 35. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
- 13 TO READ AS FOLLOWS:
- 14 The standards to be utilized in the inspection of sprinkler systems shall be the
- 15 standards set forth in the National Fire Protection Association's Article 25: Standard
- 16 for the Inspection, Testing and Maintenance of Water-Based Fire Protection Systems
- 17 and is the edition prescribed by the executive director or other national standard as
- 18 approved by the executive director.
- → SECTION 36. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
- 20 TO READ AS FOLLOWS:
- 21 (1) The procedures set forth in KRS Chapter 13B shall govern the office's conduct of
- 22 <u>disciplinary hearings.</u>
- 23 (2) The executive director may summarily suspend a certification for up to ninety
- 24 (90) days before a final adjudication or during an appeal of the executive
- 25 <u>director's determination if he or she believes that the certification would</u>
- 26 represent a clear and immediate danger to the public's health, safety, or property
- 27 <u>if the inspector is allowed to perform sprinkler system inspections.</u>

1	(3) The executive director shall issue a letter to cease and desist with notice of
2	opportunity to be heard in accordance with KRS Chapter 13B, to any individual if
3	the executive director:
4	(a) Determines that an individual is not certified under the provisions of this
5	chapter; and
6	(b) Determines that the individual is engaged in, or believed to be engaged in,
7	activities for which a fire sprinkler inspector's certification is required
8	under this chapter.
9	(4) After completion of the hearing, if it is determined that the activities in which the
10	individual is engaged are subject to certification under this chapter, the executive
11	director shall issue a cease-and-desist order that identifies the individual and
12	specifically states the activities which are subject to the order.
13	(5) A cease-and-desist order issued under this section shall be enforceable in the
14	Circuit Court of the county of the certified inspector's place of business in
15	accordance with KRS Chapter 13B.
16	→SECTION 37. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
17	TO READ AS FOLLOWS:
18	(1) Subject to a hearing conducted in accordance with KRS Chapter 13B, the
19	executive director may suspend, revoke, or refuse to renew the certification of a
20	certified fire sprinkler inspector for any of the following actions:
21	(a) Use of false evidence or misrepresentation in an application for a fire
22	sprinkler inspector's certification;
23	(b) Attempting to transfer authority granted to the certified inspector to another
24	individual;
25	(c) Incompetence or negligence in the inspection of fire protection sprinkler
26	systems as determined by the executive director;
27	(d) Conviction of a felony or of any crime an element of which is dishonesty or

1	fraud, under the laws of any state or of the United States;
2	(e) Fraudulent or dishonest practices while engaging in the business of
3	sprinkler system inspections;
4	(f) Aiding or abetting any person attempting to evade the provisions of this
5	chapter; or
6	(g) Violating any provision of this chapter or the administrative regulations
7	promulgated thereunder.
8	(2) The executive director shall revoke, subject to a hearing in accordance with KRS
9	Chapter 13B, the certification of a fire sprinkler inspector who engages in
10	inspection activities during a suspension of his or her certification.
11	(3) Any certification holder who is aggrieved by a final order of the executive
12	director, resulting in the suspension or revocation of certification, may appeal to
13	the Circuit Court of the county of the certified inspector's place of business in
14	accordance with KRS Chapter 13B.
15	→SECTION 38. A NEW SECTION OF KRS CHAPTER 198B IS CREATED
16	TO READ AS FOLLOWS:
17	If the certification of a fire sprinkler inspector is revoked for any reason, the inspector
18	may, at the conclusion of the revocation period, reapply for a sprinkler system
19	inspector's certification.
20	→ Section 39. KRS 198B.400 to 198B.540 shall be known and may be cited as the
21	Kentucky Elevator Safety Act.
22	→ Section 40. This Act takes effect July 1, 2011.

Speaker-House of Representatives
President of Senate
Chief Clerk of House of Representatives
Approved Approved Governor
Date Opril 12, 2010

Attest: